



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/077,907	02/20/2002	Tomohiro Chiba	018842.1204	2651
24735	590 10/21/2002			
BAKER BOTTS LLP C/O INTELLECTUAL PROPERTY DEPARTMENT THE WARNER, SUITE 1300			EXAMINER	
			LEO, LEONARD R	
	1299 PENNSYLVANIA AVE, NW WASHINGTON, DC 20004-2400		ART UNIT	PAPER NUMBER
			3743	<u> </u>
			DATE MAIL ED. 10/21/2002	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
6.	10/077,907	CHIBA, TOMOHIRO				
Office Action Summary	Examiner	Art Unit				
	Leonard R. Leo	3743				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, - Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).  Status	6(a). In no event, however, may a reply be time within the statutory minimum of thirty (30) day ill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).				
1) Responsive to communication(s) filed on						
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ Thi	s action is non-final.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims						
4) Claim(s) 1-6 is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-6</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or	election requirement.					
Application Papers						
9) The specification is objected to by the Examiner.						
10) The drawing(s) filed on is/are: a) accep						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
	11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.					
If approved, corrected drawings are required in reply to this Office action.  12) The oath or declaration is objected to by the Examiner.						
Priority under 35 U.S.C. §§ 119 and 120 13)⊠ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a) ☐ All b) ☐ Some * c) ☒ None of:						
, , ,						
<ul> <li>1.☒ Certified copies of the priority documents have been received.</li> <li>2.☒ Certified copies of the priority documents have been received in Application No</li> </ul>						
<ul><li>2. Certified copies of the priority documents have been received in Application No</li><li>3. Copies of the certified copies of the priority documents have been received in this National Stage</li></ul>						
application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.						
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).						
<ul> <li>a) ☐ The translation of the foreign language provisional application has been received.</li> <li>15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.</li> </ul>						
Attachment(s)						
Notice of References Cited (PTO-892)     Notice of Draftsperson's Patent Drawing Review (PTO-948)     ⊠ Information Disclosure Statement(s) (PTO-1449) Paper No(s) <u>3-</u>	5) 🔲 Notice of Informal (	y (PTO-413) Paper No(s) Patent Application (PTO-152)				
S Patent and Trademark Office						

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## **DETAILED ACTION**

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Haruhiko in view of Bossart et al.

Haruhiko discloses all the claimed limitations except the projection portions being obliquely arranged.

Bossart et al discloses a heat exchanger comprising a plurality of tubes having a plurality of obliquely arranged projection portions for the purpose of providing turbulence to improve heat exchange. As disclosed by Bossart et al, obliquely arranged projection portions are believed to be mere alternates of perpendicular projection portions, where the oblique arrangement provides less resistance to the longitudinal direction of the fluid flow to minimize pressure drop.

Since Haruhiko and Bossart et al are both from the same field of endeavor and/or analogous art, the purpose disclosed by Bossart et al would have been recognized in the pertinent art of Haruhiko.

It would have been obvious at the time the invention was made to a person having ordinary skill in the art to employ in Haruhiko obliquely arranged projection portions for the purpose of providing turbulence to improve heat exchange as recognized by Bossart et al.

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## Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The Information Disclosure Statement filed February 20, 2002 has not been considered, since a PTO-1449 listing the cited document (JP 04-155,191) was not furnished.

Any inquiry of a general nature, relating to the status of this application or clerical nature (i.e. missing or incomplete references, missing or incomplete Office actions or forms) should be directed to the Technology Center 3700 Customer Service whose telephone number is (703) 306-5648.

Any inquiry concerning this Office action should be directed to Leonard R. Leo whose telephone number is (703) 308-2611.

LEONARD R. LEO PRIMARY EXAMINER ART UNIT 3743

October 17, 2002